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EXAMINER
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LAROSE, COLIN M

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2623

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Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/449,022

Applicant(s)

GOLDBERG ET AL.

Examiner

Colin M. LaRose

Art Unit

2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2,3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: .

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1, 3-9, 24, 25, 27-30, 39, and 41-44 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent 5,659,630 by Forslund.

Regarding claim 1, Forslund discloses an apparatus for analyzing a plurality of image portions of at least a region of a sample, the apparatus comprising:

a plurality of processors (elements 67, figure 5) arranged to receive (from registration 66) and analyze at least one of the image portions, the processors being arranged to operate in parallel; and

a registration system (element 66, figure 5) arranged to receive image data (i.e. data captured from camera 64, and image from database 62), select at least a first processor (e.g. “shorts” processor) for receiving a first image portion of the image data, select at least a second processor (e.g. “opens” processor) for receiving a second image portion of the image data, and output the first and second image portions to their selected processors (column 6, lines 20-22: the registration 66 distributes all image portions (i.e. pixel blocks, figure 7) to all processors; therefore, the first processor receives a first portion, and the second processor receives a second portion).

Regarding claim 2, Forslund discloses the system of figure 5 is further arranged to divide the aligned image data into a plurality of image portions (figure 7). Forslund does not expressly disclose that the registration system 66 divides the image, however, it is apparent that this is the case since the dividing occurs before transmission to the processors and after alignment of the images received from blocks 62 and 65, and both said transmission and said alignment are performed by the registration system 66.

Regarding claim 3, Forslund discloses the first processor is arranged to receive a first reference image portion (i.e. reference data from database 62, figure 5, or alternatively, reference image derived from camera 64) corresponding to the first image portion and to compare the first image portion to the first reference image portion (“reference image” and “image” data are aligned by registration 66, and the shorts processor compares the two to detect defects), and the second processor (“opens” processor) is arranged to receive a second reference image portion corresponding to the second image portion and to compare the second image portion to the second reference image portion (registration 66 distributes all aligned “reference image” and “image” portions to all of the processors 67 for comparison).

Regarding claims 4 and 5, Forslund discloses the first image portion differs from the second image portion (figure 7 and column 6, lines 42-48: the portions correspond to the different windows which are scanned across the image).

Regarding claim 6, Forslund discloses at least a part of the first image portion is identical to at least part of the second image portion (column 6, line 59: “overlap” among windows).

Regarding claim 7, Forslund discloses the first processor is configured with a different algorithm for analyzing the first image portion than the second processor (“shorts” detects short circuits, “opens” detects open circuits).

Regarding claim 8, Forslund discloses the first reference image portion is derived from a corresponding portion of the sample (image of sample captured by camera 64).

Regarding claim 9, Forslund discloses the first reference image portion is derived from a file used to design the sample (alternatively, the first reference image is from the CAD database 60, figure 5).

Regarding claim 24, Forslund discloses a method for analyzing image data obtained from a sample using a plurality of processors, comprising the acts of:

receiving image data from an inspection system that generates the image data from a sample (image data generated from camera 64, figure 5);

dividing the image data into a plurality of image portions that correspond to various portions of the sample (figure 7);

outputting each image portion to a selected processor, at least some of the image portions going to different processors (all image portions are driven to multiple defect processors 67, figure 5);

analyzing each image portion for defects within the selected processor (e.g. for open and short circuits); and

outputting and combining results from each processor such that defect data is compiled for the entire image data (defect data is compiled into memory 69, figure 5).

Regarding claim 25, Forslund discloses at least some of the image portions are analyzed with different algorithms (“shorts” detects short circuits, “opens” detects open circuits).

Regarding claim 27, Forslund discloses receiving reference data corresponding to each image portion (CAD data 60, figure 5).

Regarding claim 28, each image portion is analyzed by comparing each image portion to its corresponding reference data (reference and image data are aligned, and the processors 67 compare the two to detect defects).

Regarding claim 29, Forslund discloses the reference data is in form of a corresponding image portion of the sample (column 6, lines 4-9: both reference data and sample image are rasterized images).

Regarding claim 30, Forslund discloses the reference data is in form of design data that is used to construct the sample (reference data is CAD data from database 60, figure 5).

Regarding claim 39, Forslund discloses a computer readable medium containing program instructions for inspecting a sample having a plurality of fine patterns thereon, and processing data resulting from the inspection, the computer readable medium comprising computer codes corresponding to the steps of claim 24, as well as a computer readable medium for storing the computer readable codes (each block in figure 5 has codes stored in hardware or software).

Regarding claim 41, see the explanation for claim 27.

Regarding claim 42, see the explanation for claim 28.

Regarding claim 43, see the explanation for claim 29.

Regarding claim 44, see the explanation for claim 30.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund in view of U.S. Patent 6,081,659 by Garza et al. ("Garza").

Regarding claim 10, Forslund discloses the first processor is arranged to receive a first reference data portion (from CAD database 60) that characterizes a pattern in the sample that the first image portion corresponds to, and the post processor 61 is arranged to render the first reference data portion to a first reference image portion and the first processor is arranged to compare the first reference image portion to the first image portion (reference and image data are aligned, and output to processor 73, which compares the two to detect defects, and the first processor 73 renders the reference image portion in figure 13).

Forslund does not disclose the first processor renders the reference data portion to a first reference image, since Forslund's system renders the reference data portion prior to distribution of the image portions to the first processor.

Garza discloses an inspection system that renders reference data to a reference image (step 212, figure 7) and compares the reference image to an image of a sample (step 218, figure 7). Both of the rendering and comparing is carried out by the simulator 140, figure 4. The simulator comprises a single processor 148 that executes the stored computer instructions for rendering and software instructions for comparing (column 7, lines 17-26).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Forslund by Garza in order to render the first reference data portion using the first processor, since Garza shows that a single processor performs both the functions of rendering and comparing, thus eliminating the need for separate processors.

6. Claims 11-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund and U.S. Patent 5,357,632 by Pian et al. ("Pian").

Regarding claim 11, Forslund discloses an apparatus for inspecting a plurality of image portions of at least a region of a sample, the apparatus comprising:

a distributor (registration 66 and buffers 70,72, figure 9) arranged to receive the image portions; and

a plurality of processors (73-76, figure 9) that are arranged into a plurality of subgroups ("shorts detection" subgroup, "opens detection" subgroup, "pad void detection" subgroup) that are each coupled to an associated distributor (figure 9: each of the subgroups are associated to



Art Unit: 2623

the distributor), the distributor being configurable to output selected image portions to its associated subgroup of processors (elements 70 and 72 output the selected portions from the registration 66 to the associated subgroups), at least two of the processors being arranged to analyze at [least] two of the image portions in parallel (elements 73-75 analyze all image portions in parallel).

Forslund does not disclose a plurality of distributors arranged to receive the image portions.

Pian discloses a dynamic task allocation system in which a plurality of distributors (112, figure 3) are each configured to output partitioned blocks of data (column 1, lines 61-68) to an associated arithmetic processor.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Forslund by Pian to include a plurality of distributors, each outputting selected image portions to a defect processor subgroup, since Pian shows that a system employing, inter alia, a plurality of distributors improves data flow and task allocation for parallel arithmetic processors (column 2, lines 50-57).

Furthermore, utilizing a plurality of distributors in lieu of a single distributor does not bear patentable significance since the addition of extra distributors does not produce new or unexpected results. As would have been obvious to one skilled in the art, a plurality of distributors is able to distribute more data than a single distributor. Other than this obvious advantage, the specification does not disclose any new or unexpected results due to the inclusion of more distributors. Therefore, modifying Forslund to include multiple distributors was

Art Unit: 2623

considered to be within the level of ordinary skill in the art at the time the invention was made.

See *In re Harza*, 274 F.2d 669, 124 USPQ 378 (CCPA 1960).

Regarding claim 12, Pian does not disclose the distributors are arranged in a daisy chain configuration such that a first distributor receives the image portions and outputs one or more of the image portions to a second distributor. However, arranging distributors in a daisy chain fashion to distribute data to processing elements was known at the time the invention was made, as were advantages of utilizing the daisy chain configuration. Official notice taken.

Regarding claim 13, Pian discloses each subgroup of processors 114, figure 3 includes a supervisor processor 122 that is coupled with an associated one of the distributors (supervisor 122 is coupled to each one of the distributors 112) so as to receive the selected image portions from the associated distributor (the processors 114 receive partitioned data from the distributors 112 via communication lines 116), wherein each supervisor processor is configurable to distribute the selected image portions to selected processors within its associated subgroup (the supervisor 122 distributes the data portions to the processors 114 via the distributors 112).

It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify Forslund by Pian to achieve the claimed invention since Pian discloses the above arrangement of a supervisor, processor subgroups, and distributors produces improved data flow and task allocation for systems that utilize parallel data processing (column 2, lines 50-57).

Regarding claim 14, Forslund discloses a first processor is arranged to receive a selected image portion and a selected reference image portion corresponding to the selected image portion and to compare the selected image portion to the selected reference image portion

Art Unit: 2623

(reference and image data are aligned, and output to processor 73, which compares the two to detect defects).

Regarding claim 15, see the explanation for claim 10.

Regarding claim 16, see the explanation for claim 8.

Regarding claim 17, see the explanation for claim 9.

7. Claims 18, 19, 22, 23, 34, 37, and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund.

Regarding claim 18, Forslund discloses a method of inspecting a sample having a plurality of fine patterns thereon, and processing data resulting from the inspection, comprising:

a) receiving data (from blocks 62 and 65, figure 5) derived from the inspection in a multiprocessor system, the system comprising a master processor (element 66, figure 5) and a plurality of slave processors (elements 67, figure 5);

b) dividing the data into groups using the master processor (column 6, lines 20-22: registration 66 drives the image data to the defect detectors 67, and column 6, lines 50-54: data is divided into groups; it is not clear whether registration 66 divides the data, but it would have been apparent to one skilled in the art that dividing the data occurs by the registration 66 before the data is driven to the detectors 67), each group corresponding to information derived from a portion of the sample, wherein each group has a quantity of data which may be processed by a slave processor in a predetermined time interval (column 7, lines 6-8: slave processors 67 are each composed of processor pairs that process the data at half of the original rate);

Art Unit: 2623

c) processing the data groups with the slave processors (defect detectors 67 process the groups to find defects); and

d) deriving defect information regarding the sample and the fine patterns from the combined data (columns 9-10: "Shorts Detection" and "Opens Detection" sections provide details for deriving defect information from the combined data).

Regarding claim 19, Forslund's inspection system relates to "an inspection system for manufacturing processes and ... has particular application to the inspection of parts, such as printed circuit boards (PCBs) or cards and the like" (column 1, lines 25-30).

Forslund does not expressly disclose that the sample is selected from a group consisting of a reticle, a photomask, and a semiconductor material, device, or surface, however, methods for inspecting patterns on PCBs and semiconductors are very closely related, and one skilled in the art would have known that methods for inspecting fine patterns on PCBs can be implemented to inspect fine patterns on reticles, photomasks, and semiconductor devices with a reasonable expectation of success. Official notice taken.

Regarding claim 22, Forslund discloses the data groups are processed using an algorithm which compares data derived from differing regions of the sample (figure 7: differing window regions are processed using the comparison algorithms of defect detectors 67, figure 5).

Regarding claim 23, Forslund discloses the data groups are processed using an algorithm which compares data derived from a portion of the sample with data derived from a file used to design the sample (image of sample from camera 64 is compared with design data from CAD database 60 during defect detection performed by processors 67).

Art Unit: 2623

Regarding claim 34, Forslund discloses a computer readable medium (figure 5) containing program instructions for inspecting a sample having a plurality of fine patterns thereon, and processing data resulting from the inspection, the computer readable medium comprising computer codes corresponding to the steps of claim 18, as well as a computer readable medium for storing the computer readable codes (each block in figure 5 has codes stored in hardware or software).

Regarding claim 37, see the explanation for claim 22.

Regarding claim 38, see the explanation for claim 23.

8. Claims 20 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund and U.S. Patent 4,181,936 by Kober.

Regarding claim 20, Forslund discloses the system comprises a master processor, in communication with a plurality of slave processors.

Forslund is silent to the system comprising a plurality of master processors, each in communication with a plurality of slave processors, and wherein each of the master processors is in communication with a central processor, the central processor allocating data among the master processors.

Kober discloses a distributed computing system in which a data exchange processor (62, figure 1) functions as a central processor that allocates data among a plurality of computers (53,54(64),55, figure 1). Column 1, lines 58-63: the data exchange processor controls the data transfer among the individual computers.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Forslund by Kober so that Forslund's system comprises a plurality of master processors (individual computers 53,54,55 of Kober each contain Forslund's system of figure 5, which comprises master processor 66), each in communication with a plurality of slave processors (67, figure 5 of Forslund), and wherein each of the master processors is in communication with a central processor (62, figure 1 of Kober), the central processor allocating data among the master processors, since Forslund discloses utilizing a parallel processing architecture in order to process a collection of data in a reduced period of time, wherein the data is broken into subgroups and processed by different processors (column 6, lines 50-59 of Forslund), and Kober discloses utilizing a central processor controlling the flow of data to a plurality of parallel computers reduces the data transmission time (column 2, lines 26-33 of Kober).

Regarding claim 35, see the explanation for claim 20.

9. Claims 21, 26, 36, and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund and U.S. Patent 4,999,785 by Schmuter.

Regarding claim 21, Forslund is silent to a first group of the slave processors using one or more algorithms selected to process data with high accuracy, but at a relatively slow rate, and wherein a second group of the slave processors using one or more algorithms selected to process data with a relatively low accuracy, but at a high rate.

Schmuter discloses a method for inspecting defects in a circuit board that uses a first group of slave processors to process data with low accuracy at a high rate, and a second group of

Art Unit: 2623

slave processors to process data with a relatively high accuracy at a high rate. In reference to figure 1, inspection data is sent to a first group (7) of processors (8,9), which detects general defects of the inspection data at a coarse resolution. Any detected defects are sent to the second group (13) of processors (15,16) for an inspection of more detailed characteristics (column 3, lines 23-36). The processing of the first group is done at a coarser resolution (lower accuracy) than that of the second group, and the first group operates at a higher rate (column 5, lines 16-20: amount of time needed by the first group to detect defects is less than the time needed by the second group to evaluate the defects).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Forslund by Schmuter so that a first group of the slave processors using one or more algorithms selected to process data with high accuracy, but at a relatively slow rate, and wherein a second group of the slave processors using one or more algorithms selected to process data with a relatively low accuracy, but at a high rate, since Schmuter discloses that this feature greatly reduces the amount of time needed to detect defects in circuit boards (column 4, lines 1-10).

Regarding claim 26, Forslund is silent to analyzing some image portions more stringently than others.

Schmuter discloses an inspection system wherein a first group of processors (7, figure 1) detects general defects, and a second group of processors (13, figure 1) evaluates only the defects detected by the first group (column 3, lines 23-36). Therefore, the image portions containing detected defects are analyzed more stringently than those that do not have detected defects.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Forslund by Schmuter to achieve the claimed invention since Schmuter discloses that

Art Unit: 2623

analyzing some image portions more stringently than other reduces processing time and increase throughput (column 4, lines 1-10).

Regarding claim 36, see the explanation for claim 21.

Regarding claim 40, see the explanation for claim 26.

10. Claims 31-33 and 45-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forslund and U.S. Patent 4,484,349 by McCubbrey.

Regarding claims 31-33, receiving control data is an inherent part of Forslund's system. As was known to one skilled in the art, control data, or computer instructions, are received, stored, and utilized at the various blocks in figure 5, which correspond to a computer implementation of Forslund's invention. Those of skill in the art knew that any functions performed by computers must be programmed in some fashion using computer instructions (control data). Official notice taken. For example, the registration 66 receives and stores control data that specifies, inter alia, how to align two images; the segmentation block 65 receives and stores control data on how to produce a threshold image; each of the processors 67 receive and store control data that specifies an inspection algorithm; etc. If there is no control data available for a particular task, a system component is unable to perform the task. Therefore, the presence of control data for each of Forslund's computer-implemented image processing function is implicit.

Further in regards to claim 31, Forslund does not expressly disclose receiving control data that specifies how to divide and output the image portions to their selected processors.



Art Unit: 2623

McCubbrey discloses receiving transformation criteria values (control data) that specify how to divide the image portions, which consequently determines how the image portions are outputted (column 4, lines 22-28).

It would have been obvious to one of ordinary skill in the art at the time of the invention to receive said criteria values as control data for dividing and outputting the image portions, since Forslund discloses McCubbrey's prior art teaching on partitioning an image portion for parallel processing (column 6, line 65 of Forslund).

Further in regards to claim 32, Forslund does not expressly disclose the control data also specifies how to analyze the image portions for defects within the selected processors. However, as stated above, defect processors 67, figure 5 implicitly receive, store, and process control data specifying how to analyze image portions for defects.

Further in regards to claim 33, Forslund discloses the reference data is in form of design data that is used to construct the sample (CAD database 60, figure 5)

Forslund does not expressly disclose the control data also specifies how to render the reference data from the design data. Postprocessor 61 rasterizes (column 5, lines 63-65) the design data into the reference data format. Control data that specifies the details of the conversion is assumed to be present.

Regarding claim 45, see the explanation for claim 31.

Regarding claim 46, see the explanation for claim 32.

Regarding claim 47, see the explanation for claim 33.

Art Unit: 2623

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Colin M. LaRose whose telephone number is (703) 306-3489. The examiner can normally be reached Monday through Thursday from 8:00 to 5:30. The examiner can also be reached on alternate Fridays.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amelia Au, can be reached on (703) 308-6604. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9314.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the TC 2600 Customer Service Office whose telephone number is (703) 306-0377.

CML

Group Art Unit 2623

21 October 2002

  
Jon Chang  
Primary Examiner